



Louisville Metro Housing Authority

Minority Vendor Self Certification Form

The Louisville Metro Housing Authority, in an effort to capture participation by Minority-owned, Women-owned and Disable-owned vendors, asks that you please complete the following information on this form.

Name of Business: _____

Address: _____ Post Office Box: _____

City: _____ State: _____ Zip: _____

Telephone #: _____ Federal Tax I.D.: _____

Email Address: _____

MINORITY STATUS

As used in this provision, means a business concern that (1) is at least 51% minority-owned by one or more individuals, or minority business enterprises that are both socially and economically disadvantaged and (2) have its management and daily business controlled by one or more such individuals as ascribed under the "Minority, Female and Disabled Business Enterprises" Ordinance No. 140, and the Small Business Act 15 USCS, Section 637(a). See page 2 of form for more information.

Should you require additional information regarding your Minority Status, or need assistance in completing this form, please call the Housing Authority of Louisville MBE/Section 3 Coordinator at (502) 569-4922.

IF MINORITY STATUS IS APPLICABLE, PLEASE CHECK APPROPRIATE CODE BELOW:

Minority Business Enterprise

- ___ A (Asian Indian)
- ___ B (Asian Pacific)
- ___ C (Black American)
- ___ D (Hispanic American)
- ___ E (Native American)

Women Business Enterprise

- ___ M (Asian Indian)
- ___ N (Asian Pacific)
- ___ O (Black American)
- ___ P (Hispanic American)
- ___ Q (Native American)
- ___ R (Non-Ethnic Women)

The undersigned certifies under the penalties (administrative suspension and/or eligibility for participation) set forth in the "Minority, Female and Handicapped Business Enterprises" Ordinance No. 140, and the Small Business Act 15 USCS, Section 637(a), that the company classification and selected information above is true and correct. The undersigned will advise of any change in such classification at once.

Business: _____ Certified by: _____
Signature, Officer of Business

Date: _____ Title: _____ Name Printed: _____
Officer of Business

THE LOUISVILLE CODE OF ORDINANCES, No. 140
“MINORITY, FEMALE AND DISABLED BUSINESS ENTERPRISES”

MINORITY - Any legal resident of the United States having ethnic origin in the Black racial groups of Africa; a person of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish cultures; a person having ethnic origin in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands (including, but not limited to Japan, Korea, China, the Philippines, Malaysia, Hawaii and Samoa); a person having origins in any of the original peoples of North America who is an enrolled member of an Indian tribe recognized as such by the government of the United States or Canada; or a person having origins in the Eskimo or Aleut peoples and who is recognized as such by either a tribe, tribal counsel or a suitable authority in the community, which authority may be an educational institution, religious organization or a federal or state agency.

MINORITY OWNED BUSINESS or MINORITY BUSINESS ENTERPRISE – A business enterprise legally constituted under the laws of Kentucky, including but not limited to an individual, partnership, corporation, joint venture, association or cooperative, which enterprise is not an affiliate or a subsidiary of a business dominant in its field of operation and has employees identified in its own payroll records, working specifically for such entity and which entity is majority owned and controlled by a person or a combination of persons who are minorities and legal residents of the United States.

OWNED AND CONTROLLED as used in this provision means a business in which one or more minorities or minority business enterprises that owns and controls at least 51%, or in the case of a corporation, at least 51% of the voting stock and control the management and daily business operations of the business.

SMALL BUSINESS ACT15 USCS, SECTION 637(a)

For the purposes of this provision, the term socially and economically disadvantaged small business concern means any small business concern which is at least 51% unconditionally owned by one or more socially and economically disadvantaged individuals. In the case of any publicly owned business, at least 51% of the stock is unconditionally owned by one or more socially and economically disadvantaged individuals, and the management and daily business operations of such small business concern are controlled by one or more socially and economically disadvantaged individuals.

SOCIALLY DISADVANTAGED as used in this provision means individuals who are socially disadvantaged whose ability to compete in the free enterprise system has been impaired due to diminished capital and credit opportunities as compared to others in the same business area that are not socially disadvantaged. In determining the degree of diminished credit and capital opportunities the Administration shall consider, but not be limited to, the assets and net worth of such socially disadvantaged individual. In determining the economic disadvantage of an Indian tribe, the Administration shall consider, where available, information such as the following: the per capita income of members of the tribe excluding judgment awards, the percentage of the local Indian population below the poverty level, and the tribe’s access to capital markets.

WOMEN OWNED BUSINESS or WOMEN BUSINESS ENTERPRISE as used in this provision means a socially and economically disadvantaged small business concern organized for profit performing a commercially useful function which is at least 51% owned by one or more women; and whose management and daily business operations are controlled by one or more women business enterprises.